

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No. 3477-124

Applicant or Patentee: Lawson Research Institute

Serial No. or Patent No.:

Filed or Issued:

Title: Electrotherapy Device Using Low Frequency Magnetic Pulses

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. § 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION LAWSON RESEARCH INSTITUTE

ADDRESS OF NONPROFIT ORGANIZATION 268 Grosvenor Street, London, Ontario  
N6A 4V2, Canada

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 U.S.C. 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF  
STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE  
SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE  
UNITED STATES OF AMERICA
- ☒ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL  
UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office, under Section 41(a) and (b) of Title 35, United States Code, regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern, or organization having any rights to the invention is listed below:

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
[ ] Individual [ ] Small Business [ ] Nonprofit Organization

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
[ ] Individual [ ] Small Business [ ] Nonprofit ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Gregor Reid

TITLE IN ORGANIZATION OF PERSON SIGNING: Associate Scientific Director

ADDRESS OF PERSON SIGNING: HLK Laser Research Institute  
268 Grosvenor St., London, ON N6A4V2  
Canada

SIGNATURE: Gregor Reid

DATE: 17 Dec 95

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

(Foreign Agent Involved)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ELECTROTHERAPY DEVICE USING LOW FREQUENCY MAGNETIC PULSES,**

the specification of which is attached hereto unless the following box is checked:

[ ] was filed on \_\_\_\_\_ as United States Application Number or PCT International

Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

**Priority Claimed**

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[ ] Yes	[ ] No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[ ] Yes	[ ] No

I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/019,184  
(Number)

6 June, 1996  
(Filing Date)

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C., § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/CA97/00388  
(Appln. Serial No.)

5 June, 1997  
(Filing Date)

pending  
(Status --patented/pending/aban.)

(Appln. Serial No.)

(Filing Date)

(Status --patented/pending/aban.)

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from my representatives, , as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

**Customer Number 000826**

ADDRESS CORRESPONDENCE TO THE ATTENTION OF:  Registration No.	DIRECT ALL TELEPHONE CALLS TO:  Registration No. Tel (704) 331-6000 Fax (704) 334-2014
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first/sole inventor: THOMAS, Alex, W.

Inventor's Signature: 

Date: Dec 10, 1998

Residence: 901 WELLINGTON ST. 358  
Unit 1508, 155 Kent Street, London, Ontario N6A 5N7, Canada

Citizenship: Canadian

Post Office Address: same as above

2-00  
Full name of second inventor: PRATO, Frank S.

Inventor's Signature: [Signature]

Date: Dec 11/98

Residence: 408 Briarhill Avenue, London, Ontario N5Y 1P2, Canada

Citizenship: Canadian

Post Office Address: same as above

3-00  
Full name of third inventor: KAVALIERS, Martin, I.

Inventor's Signature: [Signature]

Date: Dec 1/98

Residence: 284 Suffolk Place, London, Ontario N6A 5C1, Canada

Citizenship: Canadian

Post Office Address: same as above

4-00  
Full name of fourth inventor: PERSINGER, Michael, A.

Inventor's Signature: [Signature]

Date: 15 Dec 98

Residence: 261 Wilson St. Sudbury Ontario P3E 2S3  
~~Stevenson Lawson Building, Room 319, London, Ontario N6A 5B8, Canada~~

Citizenship: Canadian

Post Office Address: same as above